

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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NATIONAL SECURITIES CORPORATION, :  
: Petitioner, :  
: :  
v. : Case No. 1:21-cv-09091-JPO  
: :  
KURT ANTHONY STEIN, :  
: Respondent. :  
-----X

**CONSENT JUDGMENT**

An arbitration award having been issued in favor of Petitioner and against Respondent on September 27, 2021 in the matter captioned *National Securities Corporation v. Kurt Anthony Stein*, FINRA Dispute Resolution Case No. 20-03270 (the “Award”); Petitioner having filed a Petition to Confirm Arbitration Award before this Court in accordance with Section 9 of the Federal Arbitration Act, 9 U.S.C. §9; the parties having entered into a confidential settlement agreement providing for payment of the Award pursuant to an installment plan; and Respondent Kurt Anthony Stein, by his signature hereto, having entered an appearance in this action and consented to the Court’s jurisdiction over him and to entry of this Judgment;

IT IS HEREBY ORDERED AND ADJUDGED AS FOLLOWS:

1. The Award is hereby confirmed as a Judgment of this Court, pursuant to 9 U.S.C. §9.
2. Judgment shall enter in favor of Petitioner and against Respondent in the amount of \$160,000, as stipulated by the parties and inclusive of all compensatory damages, pre-award interest, and attorneys’ fees awarded in the Award.

3. In accordance with the Award, post-judgment interest on this Judgment shall accrue at the rate of 4% per annum until paid in full.

The Clerk shall close this case.

SO ORDERED:

1/21/2022



J. PAUL OETKEN  
United States District Judge

The parties hereby stipulate to entry of the foregoing Judgment:

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